

# Arithmetic with Free Algebras and Hereditarily Finite Sets: a Natural Bridge between Numeric and Symbolic Computations

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- we answer **positively** two questions that one might be curious about:
  - can we do arithmetic directly with some “symbolic” mathematical objects - e.g. binary trees, balanced parenthesis languages, hereditarily finite sets?
  - is this alternative arithmetic efficient enough to be practical?
- background: bijective Gödel numberings for fundamental data types => we can borrow computations
- here we will use isomorphisms of free algebras to actually build our computations from scratch
  - **free algebras are widely used in programming languages: they correspond to recursive data types like lists or trees**
  - bijections from free algebras provide compact representations for non-free data types like sets, multisets, graphs and Turing-equivalent computational mechanisms like combinators
- methodology: fully **replicable** research (by contrast: “cold fusion” :-))
  - $\Rightarrow$  “iterate programming”: the code is extracted directly from these slides
  - $\Rightarrow$  an executable **guided tour** to an alternative view of arithmetic

# A Freedom Quote

*No one is more of a slave than he who thinks himself free without being so.*

JOHANN WOLFGANG VON GOETHE, The Maxims and Reflections of Goethe

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## Definition

Let  $\sigma$  be a signature consisting of an alphabet of constants (called generators) and an alphabet of function symbols (called constructors) with various arities. The free algebra  $A_\sigma$  of signature  $\sigma$  is defined inductively as the smallest set such that:

- 1 if  $c$  is a constant of  $\sigma$  then  $c \in A_\sigma$
- 2 if  $f$  is an  $n$ -argument function symbol of  $\sigma$ , then  $\forall i, 0 \leq i < n, t_i \in A_\sigma \Rightarrow f(t_0, \dots, t_i, \dots, t_{n-1}) \in A_\sigma$ .

- alternatively, free algebras can be seen as *initial objects* in the category of algebraic structures
- free algebras can be axiomatized in predicate logic by defining constructors, destructors and recognizers
- conversely, the language of predicate logic itself is built from:
  - function constructors (generating the *Herbrand Universe*)
  - predicate constructors (generating the *Herbrand Base*)

# Free algebras as data types

## the Haskell declarations

```
data AlgU = U | S AlgU deriving (Eq, Read, Show)
```

```
data AlgB = B | O AlgB | I AlgB deriving (Eq, Read, Show)
```

```
data AlgT = T | C AlgT AlgT deriving (Eq, Read, Show)
```

correspond, respectively to

- the free algebra  $\text{AlgU}$  with a single generator  $U$  and unary constructor  $S$  (that can be seen as part of the language of Peano arithmetic, or the decidable  $(W)S1S$  system)
- the free algebra  $\text{AlgB}$  with single generator  $B$  and two unary constructors  $O$  and  $I$  (corresponding to the language of the decidable system  $(W)S2S$  as well as “bijective base-2” number notation)
- the free algebra  $\text{AlgT}$  with single generator  $T$  and one binary constructor  $C$  (essentially the same thing as the *free magma* generated by  $T$ ).

**note:** a copy of these slides is at <http://logic.cse.unt.edu/tarau/research/2012>

# Magmas: a “classic” set-theoretical view

## Definition

*A set  $M$  with a (total) binary operation  $*$  is called a magma.*

## Definition

*A morphism between two magmas  $M$  and  $M'$  is a function  $f : M \rightarrow M'$  such that  $f(x * y) = f(x) * f(y)$ .*

## Definition

*The set  $M(X)$  with the composition operation  $(w, w') \rightarrow w * w'$  is called the free magma generated by  $X$ .*

# Morphisms of magmas

## Proposition

*Let  $M$  be a magma. Then every mapping  $u : X \rightarrow Y$  can be extended in a unique way to a morphism of  $M(X)$  into  $Y$ , denoted  $M(u)$ .*

*If  $v : Y \rightarrow Z$  then the morphism  $M(v) \circ M(u)$  extends  $v \circ u : X \rightarrow Z$  and therefore  $M(v) \circ M(u) = M(v \circ u)$ .*

## Proposition

*If  $u : X \rightarrow Y$  is respectively injective, surjective, bijective then so is  $M(u)$ .*

It follows that

## Proposition

*If  $X = \{x\}$  and  $Y = \{y\}$  and  $u : X \rightarrow Y$  is the bijection such that  $f(x) = y$ , then  $M(u) : M(X) \rightarrow M(Y)$  is a bijective morphism (i.e. an isomorphism) of free magmas.*



# The AlgT datatype as a free magma

```
data AlgT = T | C AlgT AlgT
```

We will identify the data type `AlgT` with the free magma generated by the set  $\{T\}$  and denote its binary operation  $x * y$  as `C x y`. It corresponds to the free algebra defined by the signature  $\{T/0, C/2\}$ .

## Proposition

*Let  $X$  be an algebra defined by a constant  $t$  and a binary operation  $c$ . Then there's a unique morphism  $f : \text{AlgT} \rightarrow X$  that verifies*

$$f(T) = t \tag{1}$$

$$f(C(x, y)) = c(f(x), f(y)) \tag{2}$$

*Moreover, if  $X$  is a free algebra then  $f$  is an isomorphism.*

- it also occurs under a few alternate names:
  - the *one successor* free algebra
  - unary natural numbers
  - the language of the monoid  $\{0\}^*$
  - the language of the decidable systems WS1S and S1S
  - “cave-man’s” numbering system: I, II, III, IIII, ... ~20000 years ago
- it is defined by the signature  $\{U/0, S/1\}$ , where  $U$  is a constant (seen as zero) and  $S$  is the unary successor function symbol
- we denote it  $\text{Alg}U$  and identify it with its corresponding Haskell data type

```
data AlgU = U | S AlgU
```

# The data type $\text{AlgU}$ as a free algebra

## Proposition

Let  $X$  be an algebra defined by a constant  $u$  and a unary operation  $s$ . Then there's a unique morphism  $f : \text{AlgU} \rightarrow X$  that verifies

$$f(U) = u \quad (3)$$

$$f(S(x)) = s(f(x)) \quad (4)$$

Moreover, if  $X$  is a free algebra then  $f$  is an isomorphism.

Note that following the usual identification of data types and initial algebras,  $\text{AlgU}$  corresponds to the **initial algebra** “ $1 + \_$ ” through the operation  $g = \langle U, S \rangle$  seen as a bijection  $g : 1 + \mathbb{N} \rightarrow \mathbb{N}$ .

# The *two successor* free algebra

- it also occurs under a few alternate names:
  - bijective base-2 natural numbers
  - the language of the monoid  $\{0, 1\}^*$
  - the language of the decidable systems WS2S and S2S
- it is defined by the signature  $\{B/0, O/1, I/1\}$  where
  - $B$  is a constant (seen as denoting the empty sequence)
  - $O, I$  are two unary successor function symbols
- we denote  $\text{AlgB}$  this algebra and identify it with its corresponding Haskell data type

```
data AlgB = B | O AlgB | I AlgB
```

## Proposition

Let  $X$  be an algebra defined by a constant  $b$  and a two unary operations  $o, i$ .  
Then there's a unique morphism  $f : \text{AlgB} \rightarrow X$  that verifies

$$f(B) = b \tag{5}$$

$$f(O(x)) = o(f(x)) \tag{6}$$

$$f(I(x)) = i(f(x)) \tag{7}$$

Moreover, if  $X$  is a free algebra then  $f$  is an isomorphism.

# Borrowing Arithmetic from the Peano Algebra

- we know how to do (unary) arithmetic in Peano algebra  $\text{Alg}U$
- defining **isomorphisms** between  $\text{Alg}U$ ,  $\text{Alg}B$  and  $\text{Alg}T$  will enable such arithmetic operations on  $\text{Alg}B$  and  $\text{Alg}T$
- we need to define bijections that commute with
  - the successor operation
  - the predecessor operation
  - the predicate recognizing the zero element  $U$
- one can think about these functions as bijective Gödel numberings connecting objects of  $\text{Alg}B$  and  $\text{Alg}T$  to natural numbers, seen as objects of  $\text{Alg}U$
- one can also think about emulating constructor operations in one algebra with equivalent (possibly more complex) computations in another algebra

*Freedom's just another word for nothing left to lose.*

KRIS KRISTOFFERSON, "Me and Bobby McGee"

- $\Rightarrow$  no information will be lost by “commuting” between algebras - we will ensure that our morphisms are bijections

# Successor and predecessor in AlgB

The intuition for designing these operations is their conventional arithmetic interpretation, as 0 for B,  $\lambda x.2x + 1$  for O and  $\lambda x.2x + 2$  for I.

-- successor

sB B = O B                    -- 1 --

sB (O x) = I x                -- 2 --

sB (I x) = O (sB x)         -- 3 --

-- predecessor

sB' (O B) = B                -- 1' --

sB' (O x) = I (sB' x)        -- 3' --

sB' (I x) = O x               -- 2' --

language notes:

- one can think about our Haskell code simply as equational rewriting rules
- pattern matching: the first match activates the “rewriting rule”
- or, inductive definitions / recursion equations working on a free algebra



## Proposition

Let  $\mathbb{B}$  be the set of terms of the initial algebra  $\text{Alg } \mathbb{B}$  and  $\mathbb{B}^+ = \mathbb{B} - \{B\}$ . Then  $s_B: \mathbb{B} \rightarrow \mathbb{B}^+$  is a bijection and  $s_B^{-1}: \mathbb{B}^+ \rightarrow \mathbb{B}$  is its inverse.

## Proof.

(Sketch). We proceed by structural induction. Clearly the proposition holds for the base case as  $s_B^{-1}(s_B B) = s_B^{-1}(O B) = B$  and  $s_B(s_B^{-1}(O B)) = s_B B = O B$ . The result follows from the inductive hypothesis by observing that exactly one rule matches each expression and an application of rule “- 2 -” is undone by “- 2' -” and an application of rule “- 3 -” is undone by rule “- 3' -” and viceversa.  $\square$

# The isomorphism between $\text{AlgU}$ and $\text{AlgB}$

The functor  $u2b$  defined as

$u2b :: \text{AlgU} \rightarrow \text{AlgB}$

$u2b\ U = B$

$u2b\ (S\ x) = sB\ (u2b\ x)$

and its inverse

$b2u :: \text{AlgB} \rightarrow \text{AlgU}$

$b2u\ B = U$

$b2u\ x = S\ (b2u\ (sB'\ x))$

define an isomorphism between the two algebras which allows us to see  $\text{AlgB}$  as a model for an axiomatization of arithmetic on  $\mathbb{N}$ .

We can thus generate the stream enumerating the terms of  $\text{algB}$  as follows:

$\text{binNats} = \text{iterate}\ sB\ B$

$> \text{take}\ 8\ \text{binNats}$

$[B, O\ B, I\ B, O\ (O\ B), I\ (O\ B), O\ (I\ B), I\ (I\ B), O\ (O\ (O\ B))]$

# A Freedom Quote

*Freedom is something that dies unless it's used.*

HUNTER S. THOMPSON, Ancient Gonzo Wisdom

⇒ we will use the free algebra  $\text{Alg}B$  to define binary arithmetic

Other arithmetic operations, can be defined in terms of  $s_B$ ,  $s_{B'}$  and structural recursion. For instance, the addition  $\text{add}_B$  operation looks as follows:

$$\text{add}_B \ B \ y = y$$

$$\text{add}_B \ x \ B = x$$

$$\text{add}_B(O \ x) \ (O \ y) = I \ (\text{add}_B \ x \ y)$$

$$\text{add}_B(O \ x) \ (I \ y) = O \ (s_B \ (\text{add}_B \ x \ y))$$

$$\text{add}_B(I \ x) \ (O \ y) = O \ (s_B \ (\text{add}_B \ x \ y))$$

$$\text{add}_B(I \ x) \ (I \ y) = I \ (s_B \ (\text{add}_B \ x \ y))$$

- performance moves from  $O(n)$  in the Peano algebra to  $O(\log(n))$
- effort is now proportional to the size of the binary representation!
- structural recursion  $\Rightarrow$  formally verified with the proof assistant Coq

# The intuitions behind the arithmetic operations on $\text{AlgT}$

The intuitions we have used for designing the successor ( $s$ ) and predecessor operations ( $s'$ ) in  $\text{AlgT}$  and their helper functions  $d$  and  $d'$ : **their “conventional” arithmetic interpretations!**

- $\lambda x.x + 1$  for  $s$
- $\lambda x.x - 1$  for  $s'$  assuming  $x > 0$
- $0$  for  $\mathbb{T}$
- $\lambda x.\lambda y.2^x(2y + 1)$  for  $\mathbb{C}$
- $\lambda x.2x$  for  $d$  (assuming  $x > 0$ )
- $\lambda x.x/2$  (assuming  $x$  even and  $x > 0$ ) for  $d'$

(somewhat) related:

- hereditary base- $k$  notation in the proof of **Goodstein's theorem**
- good old **floating point** + recursion on the representation of the exponent
- run-length compression of 0's in a binary string

# Defining the Successor and Predecessor on $\text{AlgT}$

This time, the definitions of successor  $s$  and predecessor  $s'$ , together with the helper functions  $d$  (double) and  $d'$  (half of an even) are mutually recursive:

$$s \ T = C \ T \ T \quad \text{-- 1 --}$$

$$s \ (C \ T \ y) = d \ (s \ y) \quad \text{-- 2 --}$$

$$s \ z = C \ T \ (d' \ z) \quad \text{-- 3 --}$$

$$s' \ (C \ T \ T) = T \quad \text{-- 1' --}$$

$$s' \ (C \ T \ y) = d \ y \quad \text{-- 3' --}$$

$$s' \ z = C \ T \ (s' \ (d' \ z)) \quad \text{-- 2' --}$$

$$d \ (C \ a \ b) = C \ (s \ a) \ b \quad \text{-- 4 --}$$

$$d' \ (C \ a \ b) = C \ (s' \ a) \ b \quad \text{-- 4' --}$$

## Proposition

*Let  $\mathbb{T}$  be the set of terms of the initial algebra  $\text{AlgT}$  and  $\mathbb{T}^+ = \mathbb{T} - \{T\}$ . Then  $s: \mathbb{T} \rightarrow \mathbb{T}^+$  is a bijection and  $s' : \mathbb{T}^+ \rightarrow \mathbb{T}$  is its inverse.*

To prove this we will use the structural induction principle on  $\text{AlgT}$ :

## Proposition

*Let  $P(x)$  be a predicate about the terms of  $\text{AlgT}$ . If  $P$  holds for the generator  $T \in \text{AlgT}$  and from  $P(x)$  and  $P(y)$  one can conclude  $P(C x y)$ , then  $P$  holds for all terms of  $\text{AlgT}$ .*

# The Proof

## Proof.

By induction on the structure of the terms of  $\text{AlgT}$ . Observe that  $f$  is the inverse of  $f'$  if and only if  $\forall u \in \mathbb{T}, \forall v \in \mathbb{T}^+, f u = v \iff f' v = u$ . We will show this for the base case and the inductive steps for both  $s$  and  $s'$  as well as  $d$  and  $d'$ .

Observe that if  $s$  and  $s'$  are inverses, then  $d$  and  $d'$  are also inverses. This reduces to:  $d y = z \iff d' z = y$ , or equivalently, that  $d (C a b) = C c d \iff d' (C c d) = C a b$ , which further reduces to  $C (s a) b = C c d \iff C (s' c) d = C a b$  and  $s a = c \iff s' c = a$ , which holds based on the inductive hypothesis for  $s$  and  $s'$ .

Our main induction proof, by case analysis: rules  $k$  and  $k'$  are such that rule “ $- k -$ ” is the unique match for function  $f$  if and only if rule “ $- k' -$ ” is the unique match for function  $f'$ . □



## The Proof - continued

We will show that  $s u = v \iff s' v = u$ , assuming it holds inductively for all  $a, b$  such that  $v = C a b$ . Note that case  $k = 1, 2, 3, 4$  corresponds to the application of rules “-  $k$  -” and “-  $k'$  -” in the definitions of  $s, s'$  and  $d, d'$ .

$$\textcircled{1} \quad s u = s T = C T T = v \iff s' v = s' (C T T) = T = u$$

$$\textcircled{2} \quad s u = s (C T y) = d (s y) = v \iff s y = d' v$$

$s' v = C T y$  where  $y = s' (d' v) \iff s y = d' v$ , given that  $d$  and  $d'$  are inverses under the inductive hypothesis covering their calls to  $s$  and  $s'$ .

$$\textcircled{3} \quad v = s u \iff v = C T y \text{ where } y = d' u$$

$u = s' v \iff v = C T y \text{ where } u = d y$ , which holds, given that

$$\textcircled{4} \quad d \text{ and } d' \text{ are inverses under the inductive hypothesis covering their calls to } s \text{ and } s'.$$

# The isomorphism between $\text{AlgU}$ and $\text{AlgT}$

The functor  $u2b$  defined as

$$u2t :: \text{AlgU} \rightarrow \text{AlgT}$$
$$u2t \ U = T$$
$$u2t \ (S \ x) = s \ (u2t \ x)$$

and its inverse

$$t2u :: \text{AlgT} \rightarrow \text{AlgU}$$
$$t2u \ T = U$$
$$t2u \ x = S \ (t2u \ (s' \ x))$$

define an isomorphism which allows us to see  $\text{AlgT}$  as a model for an axiomatization of arithmetic on  $\mathbb{N}$ . The infinite stream `treeNats` of binary trees, corresponding to successive natural numbers is defined as:

$$\text{treeNats} = \text{iterate } s \ T$$

```
> take 5 treeNats
```

```
[T, C T T, C (C T T) T, C T (C T T), C (C (C T T) T) T]
```

# Conversion between ordinary and binary tree naturals

```
data AlgT = T | C AlgT AlgT
```

```
type N = Integer
```

```
n2t :: N → AlgT
```

```
n2t 0 = T
```

```
n2t x | x > 0 = C (n2t (nC' x)) (n2t (nC'' x)) where
```

```
  nC' x | x > 0 = if odd x then 0 else 1 + (nC' (x `div` 2))
```

```
  nC'' x | x > 0 =
```

```
    if odd x then (x-1) `div` 2 else nC'' (x `div` 2)
```

```
t2n :: AlgT → N
```

```
t2n T = 0
```

```
t2n (C x y) = nC (t2n x) (t2n y) where
```

```
  nC x y = 2x * (2*y + 1)
```

# Can we do arithmetic computations in AlgT?

- as we have emulated the successor operations we can do easily (**slow**) unary arithmetic
- defining a AlgB “view” over the free algebra AlgT enables **fast arithmetic computations with binary trees**
- complexity will be comparable to operations acting on conventional bitstring representations

projection functions ( $c'$ ,  $c''$ ) and a recognizer of non-empty trees  $c\_$ :

$c', c'' :: \text{AlgT} \rightarrow \text{AlgT}$

$c' (C \ x \ \_) = x$

$c'' (C \ \_ \ y) = y$

$c\_ :: \text{AlgT} \rightarrow \text{Bool}$

$c\_ (C \ \_ \ \_) = \text{True}$

$c\_ T = \text{False}$

# Emulating AlgB in AlgT

```
data AlgB = B | O AlgB | I AlgB
```

```
data AlgT = T | C AlgT AlgT
```

constructors  $(o, i)$ , destructors  $(o', i')$  and recognizers  $(o_, i_)$ :

```
o, o', i, i' :: AlgT → AlgT
```

```
o_, i_ :: AlgT → Bool
```

```
o = C T
```

```
o' (C T y) = y
```

```
o_ (C x _) = x == T
```

```
i = s . o
```

```
i' = o' . s'
```

```
i_ (C x _) = x /= T
```

# The isomorphism between $\text{Alg}B$ and $\text{Alg}T$

$\text{b2t} :: \text{Alg}B \rightarrow \text{Alg}T$

$\text{b2t } B = T$

$\text{b2t } (0 \ x) = o \ (\text{b2t } x)$

$\text{b2t } (I \ x) = i \ (\text{b2t } x)$

$\text{t2b} :: \text{Alg}T \rightarrow \text{Alg}B$

$\text{t2b } T = B$

$\text{t2b } x \mid o\_x = O \ (\text{t2b } (o' \ x))$

$\text{t2b } x \mid i\_x = I \ (\text{t2b } (i' \ x))$

- note that interplay between actual constructors and their emulation
- a constructor symbol  $F/n$  is emulated by a recognizer predicate  $f\_/n$ , a constructor function  $f/n$  and a destructor function  $f' /n$

# Efficient arithmetic in AlgT: addition

We are now ready for the magic: arithmetic operations working directly on binary trees.

```
add T y = y
```

```
add x T = x
```

```
add x y | o_ x && o_ y = i (add (o' x) (o' y))
```

```
add x y | o_ x && i_ y = o (s (add (o' x) (i' y)))
```

```
add x y | i_ x && o_ y = o (s (add (i' x) (o' y)))
```

```
add x y | i_ x && i_ y = i (s (add (i' x) (i' y)))
```

- everything happens naturally through the emulation of AlgB
- once we have defined  $i, i', o, o', o_, i_$ , the operations on AlgT look syntactically identical to those on AlgB
- using type classes one can actually share the implementation

# Efficient arithmetic in AlgT: subtraction

```
sub x T = x
sub y x | o_ y && o_ x = s' (o (sub (o' y) (o' x)))
sub y x | o_ y && i_ x = s' (s' (o (sub (o' y) (i' x))))
sub y x | i_ y && o_ x = o (sub (i' y) (o' x))
sub y x | i_ y && i_ x = s' (o (sub (i' y) (i' x)))
```

**a generic tester:**

```
testop f n m = t2n (f (n2t n) (n2t m))
```

```
> testop sub 20 15
```

```
5
```

```
> testop add 20 15
```

```
35
```

```
> add (n2t 20) (n2t 15)
```

```
C T (C T (C (C T (C T T)) T))
```



# Efficient arithmetic in AlgT: comparison

`cmp T T = EQ`

`cmp T _ = LT`

`cmp _ T = GT`

`cmp x y | o_ x && o_ y = cmp (o' x) (o' y)`

`cmp x y | i_ x && i_ y = cmp (i' x) (i' y)`

`cmp x y | o_ x && i_ y = strengthen (cmp (o' x) (i' y)) LT`

`cmp x y | i_ x && o_ y = strengthen (cmp (i' x) (o' y)) GT`

`strengthen EQ stronger = stronger`

`strengthen rel _ = rel`

# Efficient arithmetic in AlgT: multiplication

we optimize a bit, using the arithmetic interpretation of our binary trees

```
multiply T _ = T
```

```
multiply _ T = T
```

```
multiply x y = C (add (c' x) (c' y)) (add a m) where
```

```
  (x', y') = (c'' x, c'' y)
```

```
  a = add x' y'
```

```
  m = s' (o (multiply x' y'))
```

```
> multiply (n2t 42) (n2t 10)
```

```
C (C (C T T) T) (C (C (C T T) T) (C (C T T) (C T T)))
```

```
> testop multiply 42 10
```

```
420
```

```
> testop multiply 1234567890 9876543210
```

```
12193263111263526900
```

# A Freedom Quote

*Liberty, when it begins to take root, is a plant of rapid growth.*

GEORGE WASHINGTON

⇒ a  $O(1)$  complexity power of 2 operation  $\text{exp2}$  is simply:

$$\text{exp2 } x = C \ x \ T$$

this leads to a compact representation of towers of exponents of 2 (tetration):

$$2^{2^{\dots^2}} \Rightarrow C (C (C (\dots (C \ T \ T) ) ) , T)$$

# An emergent property: operations with towers of exponents

- our tree representation supports operations with gigantic, tower of exponent numbers
- with conventional bitstring representations, such numbers would overflow even if each atom in the known universe were used as bit ...

iterating `exp2` 7 times):

```
> take 7 (iterate exp2 T)
[T,C T T,C (C T T) T,C (C (C T T) T) T,
 C (C (C (C T T) T) T) T,C (C (C (C (C T T) T) T) T) T,
 C (C (C (C (C (C T T) T) T) T) T) T]
```

```
> map t2n it
[0,1,2,4,16,65536,20035299304068...
 -- 2-pages of digits --
 ...339445587895905719156736]
```

note: "it" represents in Haskell the result of the previous query

*Every general increase of freedom is accompanied by some degeneracy, attributable to the same causes as the freedom.*

CHARLES HORTON COOLEY, Human Nature and the Social Order

- this can indeed happen, the worse case is  $2^{2^{2^{\dots 2^n}}} - 1$
- it means that we can (sometime) fall back to the same thing as with the usual binary string computations
- good news - from a result proven by Legendre on the number of occurrences of a prime  $p$  in  $n!$ :
  - the average number of iterations for successor and predecessor in  $\text{AlgB}$  for  $k$  between 0 and  $2^n - 1$  is  $1 + \frac{2^n - 1}{2^n} < 2$
  - the analysis for  $\text{AlgT}$  is more convoluted but (empirically) the complexity of  $s$  and  $s'$  is close to a constant factor
- even better news - see the **slide after the conclusion** !

# Representing lists

we encode lists by repeated application of constructors and destructors

```
to_list :: AlgT → [AlgT]
```

```
to_list T = []
```

```
to_list x = (c' x) : (to_list (c'' x))
```

```
from_list :: [AlgT] → AlgT
```

```
from_list [] = T
```

```
from_list (x:xs) = C x (from_list xs)
```

```
> n2t 888
```

```
C (C T (C T T)) (C T (C T (C T (C (C T T) (C T T))))))
```

```
> to_list it
```

```
[C T (C T T), T, T, T, C T T, T]
```

```
> from_list it
```

```
C (C T (C T T)) (C T (C T (C T (C (C T T) (C T T))))))
```

```
> t2n it
```

```
888
```

# Representing multisets

to encode multisets we go through a bijection between lists and multisets

```
list2mset, mset2list :: [AlgT] → [AlgT]
```

```
list2mset ns = tail (scanl add T ns)
```

```
mset2list ms = zipWith sub ms (T:ms)
```

```
to_mset :: AlgT → [AlgT]
```

```
to_mset = list2mset . to_list
```

```
from_mset :: [AlgT] → AlgT
```

```
from_mset = from_list . mset2list
```

```
> (map t2n . list2mset . map n2t) [2,0,1,2]
```

```
[2,2,3,5]
```

```
> (map t2n . mset2list . map n2t) it
```

```
[2,0,1,2]
```

# Representing sets

```
list2set, set2list :: [AlgT] → [AlgT]
```

```
list2set = (map s') . list2mset . (map s)
```

```
set2list = (map s') . mset2list . (map s)
```

```
to_set :: AlgT → [AlgT]
```

```
to_set = list2set . to_list
```

```
from_set :: [AlgT] → AlgT
```

```
from_set = from_list . set2list
```

```
> (map t2n . list2set . map n2t) [2,0,1,2]
```

```
[2,3,5,8]
```

```
> (map t2n . set2list . map n2t) it
```

```
[2,0,1,2]
```



# Hereditarily Finite Sets

```
data HFS = H [HFS] deriving (Eq, Read, Show)
```

Ackermann's encoding of Hereditarily Finite Sets as natural numbers:

$$f(x) = \text{if } x = \{\} \text{ then } 0 \text{ else } \sum_{a \in x} 2^{f(a)}$$

same in Haskell - quite easy to invert

```
hfs2nat t = rank set2nat t
rank g (H ts) = g (map (rank g) ts)
set2nat ns = sum (map (2^) ns)
```

- **not a free algebra anymore** - sets are constrained to have distinct elements and assumed to be canonically represented using an ordering relation between elements
- but Ackermann's mapping allows us to exploit the bijection with  $\mathbb{N}$  and define operations that are total on canonically represented sets

# A Freedom Quote

*For you who no longer possess it, freedom is everything, for us who do, it is merely an illusion.*

EMIL CIORAN, History & Utopia

- we can derive arithmetic operations on Hereditarily Finite Sets through a series of transformations to the free algebra  $\text{AlgT}$
- the derivation steps proceed along the lines of Ackermann's bijection

# The acyclic digraph representing a Hereditarily Finite Set

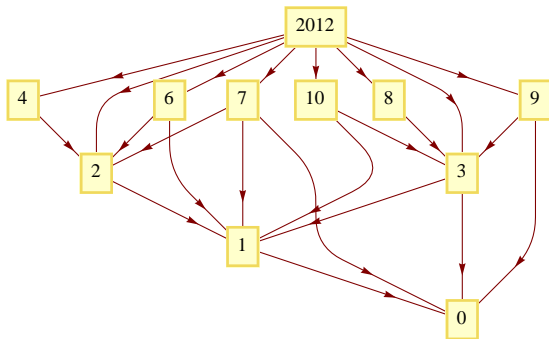


Figure : 2012 as a Hereditarily Finite Set through Ackermann's bijection

# Defining Successor $s_H$ and Predecessor $s_H'$ on a multiway tree representation of Hereditarily Finite Sets

$s_H (H \text{ } xs) = H (\text{lift } (H []) \text{ } xs)$

$s_H' (H (x:xs)) = H (\text{lower } x \text{ } xs)$

$\text{lift } k (x:xs) \mid k = x = \text{lift } (s_H k) \text{ } xs$

$\text{lift } k \text{ } xs = k:xs$

$\text{lower } (H []) \text{ } xs = xs$

$\text{lower } k \text{ } xs = \text{lower } l (l:xs)$  where  $l = s_H' k$

# Emulating the two successor algebra $\text{AlgB}$

-- "empty" and its recognizer

$eH = H []$

$eH\_x = x == H []$

-- constructors

$oH (H xs) = sH (H (\text{map } sH xs))$

$iH = sH . oH$

-- destructors

$oH' x \mid oH\_x = H (\text{map } sH' ys) \text{ where } H ys = sH' x$

$iH' x = oH' (sH' x)$

-- recognizers

$oH\_ (H (x:_)) = eH\_ x$

$iH\_ x = \text{not } (eH\_ x \mid \mid oH\_ x)$

$\Rightarrow$  (fast) arithmetic computations are similar to those on  $\text{AlgB}$ ,  $\text{AlgT}$

# A Catalan isomorphism: modeling $\text{AlgT}$ with a balanced parenthesis language

```
data Par = L | R deriving (Eq, Show, Read)
```

```
-- deconstructs a list of balanced parentheses into (head,tail)
```

```
decons (L:ps) = (reverse hs, ts) where
```

```
  (hs,ts) = count_pars 0 ps []
```

```
  count_pars 1 (R:ps) hs = (R:hs,L:ps)
```

```
  count_pars k (L:ps) hs = count_pars (k+1) ps (L:hs)
```

```
  count_pars k (R:ps) hs = count_pars (k-1) ps (R:hs)
```

```
-- constructs a list of balanced parentheses from (head,tail)
```

```
cons (xs, L:ys) = L:xs ++ ys
```

```
-- constructor + recognizer for empty
```

```
eP = [L,R]
```

```
eP_ x = (x == eP)
```

# Successor ( $sP$ ) and Predecessor ( $sP'$ )

-- successor

$sP\ z \mid eP\_z = \text{cons } (eP, eP)$  -- 1 --

$sP\ z \mid eP\_x = dP\ (sP\ y)$  where  $(x, y) = \text{decons } z$  -- 2 --

$sP\ z = \text{cons } (eP, dP'\ z)$  -- 3 --

-- predecessor

$sP'\ z \mid eP\_x \ \&\& \ eP\_y = eP$  where  $(x, y) = \text{decons } z$  -- 1' --

$sP'\ z \mid eP\_x = dP\ y$  where  $(x, y) = \text{decons } z$  -- 3' --

$sP'\ z = \text{cons } (eP, sP'\ (dP'\ z))$  -- 2' --

-- double

$dP\ z = \text{cons } (sP\ a, b)$  where  $(a, b) = \text{decons } z$  -- 4 --

-- half of non-zero even

$dP'\ z = \text{cons } (sP'\ a, b)$  where  $(a, b) = \text{decons } z$  -- 4' --

# Enumerating Positive Rationals with the Calkin-Wilf tree

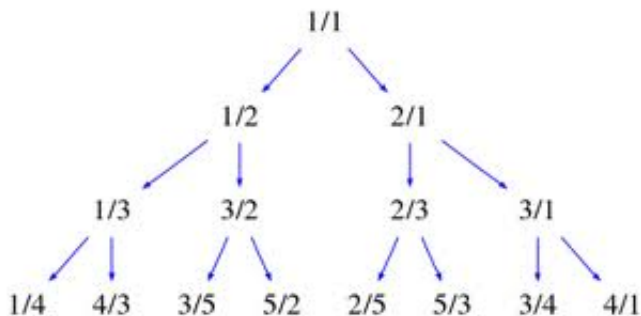


Figure : The Calkin-Wilf Tree



# The Calkin-Wilf bijection: encoding paths as $\text{AlgB}$ elements

Positive rationals in  $\mathbb{Q}^+$  are represented as pairs of positive co-prime natural numbers. We first show the bijection using ordinary integers.

$\mathbb{N} \rightarrow \mathbb{Q}^+$  using the path in the Calkin-Wilf tree starting with the root

$$q_0 = (1, 1)$$

$$q_x \mid \text{odd } x = (f_0, f_0 + f_1) \text{ where}$$

$$(f_0, f_1) = q_{\lfloor (x-1)/2 \rfloor}$$

$$q_x \mid \text{even } x = (f_0 + f_1, f_1) \text{ where}$$

$$(f_0, f_1) = q_{\lfloor x/2 \rfloor}$$

$\mathbb{Q}^+ \rightarrow \mathbb{N}$  using the path in the Calkin-Wilf tree ending with the root

$$q_n(1, 1) = 0$$

$$q_n(a, b) = \text{ordrel}$$

ordrel = compare a b

$$f_{GT} = 2 * (q_n(a-b, b)) + 2$$

$$f_{LT} = 2 * (q_n(a, b-a)) + 1$$

# Rationals with binary trees in AlgT

both natural numbers and rationals are represented as binary trees in AlgT

$\mathbb{N} \rightarrow \mathbb{Q}^+$  using the path in the Calkin-Wilf tree starting with the root

$t2q\ T = (o\ T, o\ T)$

$t2q\ n \mid o\_n = (f0, add\ f0\ f1)$  where  $(f0, f1) = t2q\ (o'\ n)$

$t2q\ n \mid i\_n = (add\ f0\ f1, f1)$  where  $(f0, f1) = t2q\ (i'\ n)$

$\mathbb{Q}^+ \rightarrow \mathbb{N}$  using the path in the Calkin-Wilf tree ending with the root

$q2t\ q \mid q = (o\ T, o\ T) = T$

$q2t\ (a, b) = f\ ordrel$  where

$ordrel = cmp\ a\ b$

$f\ GT = i\ (q2t\ (sub\ a\ b, b))$

$f\ LT = o\ (q2t\ (a, sub\ b\ a))$

> (t2n . q2t . t2q . n2t) 1234567890

1234567890

a few more steps are needed:

- extending the bijection to signed rationals
- implementing various operations
- the code, as a Scala package is at:

```
http://logic.cse.unt.edu/tarau/research/2012/  
AlgT.scala
```

# Conclusion

This is fully **replicable** research: the (self-contained) Haskell code shown in these slides is at: [http://logic.cse.unt.edu/tarau/research/2012/slides\\_SYNASC\\_freealg.hs](http://logic.cse.unt.edu/tarau/research/2012/slides_SYNASC_freealg.hs)

- it is possible to implement efficient arithmetic computations on top of free algebras corresponding to data types like binary trees
- isomorphisms between free algebras provide bridges connecting “numeric” and “symbolic” objects
- interesting properties emerge: ability to work with huge numbers – represented as towers of exponents of 2
- non-free data-types like hereditarily finite sets are covered too
- computations can be extended to rationals – resulting in a practical arithmetic package

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*Warm thanks to the SYNASC'2012 organizers for the invitation!*

# Can we do better? **YES**, with constructors **implicitly** compressing contiguous sequences of both **O**s and **I**s!

The two binary constructor free algebra, of signature  $U/0, V/2, W/2!$

data M = M | V M M | W M M deriving (Show, Read, Eq)

$eM = M$

$eM\_x = x == eM$

$sM\ x \mid eM\_x = oM\ x$

$sM\ x \mid oM\_x = iM\ (oM'\ x)$

$sM\ x \mid iM\_x = oM\ (sM\ (iM'\ x))$

$sM'\ x \mid x == oM\ eM = eM$

$sM'\ x \mid oM\_x = iM\ (sM'\ (oM'\ x))$

$sM'\ x \mid iM\_x = oM\ (iM'\ x)$

the code mimics closely  $AlgB$ , but the two constructors emulate run-length encodings of sequences of **O** and **I** constructors respectively as  $V, W$ .

# Emulating O, I with the two binary constructor free algebra

$$oM (V \times y) = V (sM \ x) \ y$$

$$oM \ w = V \ M \ w$$

$$iM (W \times y) = W (sM \ x) \ y$$

$$iM \ v = W \ M \ v$$

$$oM' (V \ M \ y) = y$$

$$oM' (V \times y) = V (sM' \ x) \ y$$

$$iM' (W \ M \ y) = y$$

$$iM' (W \times y) = W (sM' \ x) \ y$$

$$oM\_ (V \ \_ \ \_ ) = \text{True}$$

$$oM\_ \ \_ = \text{False}$$

$$iM\_ (W \ \_ \ \_ ) = \text{True}$$

$$iM\_ \ \_ = \text{False}$$

intuition:  $oU$ ,  $iU$  emulate “single step” operations with the  $V$ ,  $W$  constructors

# The first “natural numbers” with 2 binary constructor trees

```
> mapM_print (zip [0..] (take 16 (iterate sM M)))
(0,  M)
(1,  V M M)
(2,  W M M)
(3,  V (V M M) M)
(4,  W M (V M M) )
(5,  V M (W M M) )
(6,  W (V M M) M)
(7,  V (W M M) M)
(8,  W M (V (V M M) M) )
(9,  V M (W M (V M M) ) )
(10, W (V M M) (V M M) )
(11, V (V M M) (W M M) )
(12, W M (V M (W M M) ) )
(13, V M (W (V M M) M) )
(14, W (W M M) M)           -- note: n iterates of W(x,M) give 2^(n+2)-2
(15, V (V (V M M) M) M)    -- note: n iterates of V(x,M) give 2^(n+1)-1
```

intuition:  $\circ U$ ,  $iU$  emulate “single step” operations with the  $V$ ,  $W$  constructors